

# Strongly $\kappa^+$ -cc forcing

Mirna Džamonja, Tutorial 3, including joint work with J.  
Cummings and I. Neeman

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# $\kappa^+$ -cc and $(< \kappa)$ -closure

We shall consider  $\kappa$  such that  $\kappa = \kappa^{<\kappa}$ .

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## Definition

A forcing notion  $\mathbb{P}$  is  $\kappa^+$ -cc iff every antichain in  $\mathbb{P}$  has size  $\leq \kappa$ .

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**Facts** (1) If a forcing notion  $\mathbb{P}$  has an antichain of every length  $\lambda < \kappa$  and  $\kappa$  is singular, then  $\mathbb{P}$  has an antichain of length  $\kappa$  (Erdős-Tarski 1943).

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**Remark** (2) uses the fact that for any function  $f : \text{Ord} \rightarrow \text{Ord}$  in the extension by a  $\kappa^+$ -cc forcing, there is a function  $F$  in the ground model such that  $f(\alpha) \in F(\alpha)$  and  $|F(\alpha)| \leq \kappa$ .

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*Countably closed forcing preserves  $\aleph_1$ ,  $(< \kappa)$ -cc closed forcing preserves cardinals  $\leq \kappa$ .*



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# Conclusion

$\kappa^+$ -cc ( $< \kappa$ )-closed forcing preserves cardinals.

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**Problem** Not iterable (examples: see Shelah  
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Iterable conditions in this line exist, obtained by strengthening both  $\kappa^+$ -cc and ( $< \kappa$ )-closed.

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For example,

## Definition

$\mathbb{P}$  is  $\kappa^+$ -stationary-cc if for every  $\langle p_i : i < \kappa^+ \rangle$  in  $\mathbb{P}$  there is a club  $C$  in  $\kappa^+$  and a regressive function  $f$ , such that

$$i, j \in S_{\kappa}^{\kappa^+} \cap C \text{ and } f(i) = f(j) \implies p_i, p_j \text{ countable .}$$

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## Theorem (Shelah 1978)

*An iteration with ( $< \kappa$ )-supports of  $\kappa^+$ -stationary-cc ( $< \kappa$ )-closed forcing in which every two conditions have lub, is  $\kappa^+$ -cc.*

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## $\kappa^+$ -cc and ( $< \kappa$ )-closure

Other axioms of the above type were discovered by Baumgartner (1974), Shelah in several papers and Cumming, Dž., Magidor, Morgan and Shelah (2017).

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Let  $\kappa$  satisfy  $\kappa = \kappa^{<\kappa}$ , say  $\kappa \geq \aleph_1$ . Consider elementary submodels  $M \prec \mathcal{H}(\chi) = \langle H(\chi), \in, <^* \rangle$  with  $|M| = \kappa$ ,  ${}^{<\kappa}M \subseteq M$ ,  $\mathbb{P}$ =the forcing in question  $\in M$ .

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$q \in \mathbb{P}$  is *strongly  $(M, \mathbb{P})$ -generic* if

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$q \in \mathbb{P}$  is *strongly*  $(M, \mathbb{P})$ -*generic* if for every  $r \geq q$ , there is a *residue*  $r|M \in M$ , such that any  $s \geq r|M$  with  $s \in M$ , is compatible with  $r$ .

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$\mathbb{P}$  is *strongly*  $\kappa^+$ -cc if (there is a stationary set of  $M$ ) for which every condition in  $\mathbb{P}$  is strongly  $(M, \mathbb{P})$ -generic.

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**Note** If  $r|M$  is a residue for  $r$  and  $r \geq t$ , then  $r|M$  is also a residue for  $t$ .

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**Note** If  $r|M$  is a residue for  $r$  and  $r \geq t$ , then  $r|M$  is also a residue for  $t$ . Hence, to prove that a forcing is strongly  $\kappa^+$ -cc, it suffices to show that there is a dense set of conditions which are strongly  $(M, \mathbb{P})$ -generic, for relevant  $M$ s.

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## Lemma

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**Proof** Let  $\mathbb{P}$  be strongly  $\kappa^+$ -cc and  $\bar{p} = \langle p_i : i < \kappa^+ \rangle$  a sequence of conditions in  $\mathbb{P}$ .

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**Proof** Let  $\mathbb{P}$  be strongly  $\kappa^+$ -cc and  $\bar{p} = \langle p_i : i < \kappa^+ \rangle$  a sequence of conditions in  $\mathbb{P}$ . Choose  $M \prec \mathcal{H}(\chi)$  as above with  $\kappa, \mathbb{P}, \bar{p} \in M$ .

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# An example

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Let  $\text{Add}(\kappa, \lambda)$  denote the forcing to add  $\lambda$  Cohen subsets to  $\kappa$  by conditions of size  $< \kappa$ .

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$\text{Add}(\kappa, \lambda)$  is strongly  $\kappa^+$ -cc.

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Let  $\text{Add}(\kappa, \lambda)$  denote the forcing to add  $\lambda$  Cohen subsets to  $\kappa$  by conditions of size  $< \kappa$ .

## Lemma

$\text{Add}(\kappa, \lambda)$  is strongly  $\kappa^+$ -cc.

**Proof** Let  $M \prec H(\chi)$ ,  $|M| = \kappa$ ,  ${}^{<\kappa}M \subseteq M$  and  $\kappa, \lambda \in M$ . Then for any condition  $r$  in  $\text{Add}(\kappa, \lambda)$ , it suffices to let  $r \upharpoonright M = r \upharpoonright (\text{dom}(r) \cap M)$ .

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# Closure

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A set in a partial order is *directed* if every two elements in it have a common upper bound.

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## Definition

We say that  $\mathbb{P}$  is  $(< \kappa)$ -*strong directed closed* if every directed set of length  $< \kappa$  and consisting of conditions in  $\mathbb{P}$ , has a least upper bound.

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## Definition

We say that  $\mathbb{P}$  is  $(< \kappa)$ -*strong directed closed* if every directed set of length  $< \kappa$  and consisting of conditions in  $\mathbb{P}$ , has a least upper bound.

Classical methods show that this property is preserved by iteration with  $(< \kappa)$ -supports.

# Main result

## Theorem

*An iteration with supports of size  $(< \kappa)$  of strongly  $\kappa^+$ -cc  $(< \kappa)$ -strongly directed closed forcing, is itself strongly  $\kappa^+$ -cc.*

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# Main result

Strongly  $\kappa^+$ -cc  
forcing

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## Theorem

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I'll present some elements of the proof.

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I'll present some elements of the proof. Throughout we use the notation  $M$  for appropriate models.

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# Main result

Strongly  $\kappa^+$ -cc  
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Our work

I'll present some elements of the proof. Throughout we use the notation  $M$  for appropriate models. The support of a condition in an iterated forcing is the set of non-trivial coordinates, denoted by  $\text{supt}$ .

# Main result

Strongly  $\kappa^+$ -cc  
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# Main result

Strongly  $\kappa^+$ -cc  
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## Theorem

*An iteration with supports of size ( $< \kappa$ ) of strongly  $\kappa^+$ -cc ( $< \kappa$ )-strongly directed closed forcing, is itself strongly  $\kappa^+$ -cc.*

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# Main result

Strongly  $\kappa^+$ -cc  
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Generating  $M$

Our work

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**Note** For a filter  $G$  to be  $M$ -generic, it suffices that it intersects all open dense sets in  $M$ .

# Canonical extensions

Strongly  $\kappa^+$ -cc  
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## Definition

Given  $p \in \mathbb{P}$ , a *canonical extension*  $q \geq p$ , if it exists, is defined by constructing sequences  $\langle p_i : i < \omega \rangle$  and  $\langle H_i : i < \omega \rangle$  so that

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# Canonical extensions

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# Canonical extensions

Strongly  $\kappa^+$ -cc  
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- 2  $p, M \in H_0$  and  $\text{supt}(p) \subseteq H_0$ ,

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# Canonical extensions

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  - 6  $\langle p_i : i < \omega \rangle$  admits a least upper bound,
- and then letting  $q = \text{lub}_{i < \omega} p_i$ . Let  $H = \bigcup_{i < \omega} H_i$ .

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**Note** In our context, every  $p$  allows a canonical extension.

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# Properties of canonical extensions

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## Lemma

*Suppose that  $q$  is a canonical extension of  $p$ . Then:*

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# Properties of canonical extensions

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# Properties of canonical extensions

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Given  $p \in \mathbb{P}$ , let  $q \geq p$  be a canonical extension of  $p$ , we shall prove that  $q$  has a residue over  $M$ .

Generating  $M$

Our work

# Canonical extensions have residues

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Recall  $g = \{s \in \mathbb{P} \cap H : s \leq q\}$ .

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# Canonical extensions have residues

Recall  $g = \{s \in \mathbb{P} \cap H : s \leq q\}$ . Let  $t = \text{lub}(g \cap M)$  (note  $g \subseteq H$  so  $|g| < \kappa$ ).

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# Canonical extensions have residues

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Recall  $g = \{s \in \mathbb{P} \cap H : s \leq q\}$ . Let  $t = \text{lub}(g \cap M)$  (note  $g \subseteq H$  so  $|g| < \kappa$ ). We claim  $t = q \upharpoonright M$ .

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Given  $s \geq t$  with  $s \in M$ , build  $q^* \geq q, s$ .

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# Canonical extensions have residues

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Given  $s \geq t$  with  $s \in M$ , build  $q^* \geq q, s$ . Define  $q^* \restriction \alpha$  for  $\alpha \leq \gamma$ .

Generating  $M$

Our work

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# Canonical extensions have residues

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Given  $s \geq t$  with  $s \in M$ , build  $q^* \geq q, s$ . Define  $q^* \upharpoonright \alpha$  for  $\alpha \leq \gamma$ . Suppose  $q^* \upharpoonright \alpha$  is given, we show how to obtain a  $P_\alpha$ -name  $q^*(\alpha)$ .

The interesting case is  $\alpha \in \text{supt}(t) \cap \text{supt}(q)$ , so  $\alpha \in M$  since  $s \in M$ .

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Recall  $g = \{s \in \mathbb{P} \cap H : s \leq q\}$ . Let  $t = \text{lub}(g \cap M)$  (note  $g \subseteq H$  so  $|g| < \kappa$ ). We claim  $t = q \upharpoonright M$ .  $t \in M$  by the closure of  $M$ .  $q \geq t$  since  $q$  is a bound for  $g \supseteq g \cap M$  and  $t$  is the lub.

Given  $s \geq t$  with  $s \in M$ , build  $q^* \geq q, s$ . Define  $q^* \upharpoonright \alpha$  for  $\alpha \leq \gamma$ . Suppose  $q^* \upharpoonright \alpha$  is given, we show how to obtain a  $P_\alpha$ -name  $q^*(\alpha)$ .

The interesting case is  $\alpha \in \text{supt}(t) \cap \text{supt}(q)$ , so  $\alpha \in M$  since  $s \in M$ . Can assume  $\alpha \in \text{supt}(p_i)$  for all  $i$ .

Generating  $M$

Our work



# Canonical extensions have residues

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Recall  $g = \{s \in \mathbb{P} \cap H : s \leq q\}$ . Let  $t = \text{lub}(g \cap M)$  (note  $g \subseteq H$  so  $|g| < \kappa$ ). We claim  $t = q \upharpoonright M$ .  $t \in M$  by the closure of  $M$ .  $q \geq t$  since  $q$  is a bound for  $g \supseteq g \cap M$  and  $t$  is the lub.

Given  $s \geq t$  with  $s \in M$ , build  $q^* \geq q, s$ . Define  $q^* \upharpoonright \alpha$  for  $\alpha \leq \gamma$ . Suppose  $q^* \upharpoonright \alpha$  is given, we show how to obtain a  $P_\alpha$ -name  $q^*(\alpha)$ .

The interesting case is  $\alpha \in \text{supt}(t) \cap \text{supt}(q)$ , so  $\alpha \in M$  since  $s \in M$ . Can assume  $\alpha \in \text{supt}(p_i)$  for all  $i$ . Also,  $\alpha \in H$  since  $\text{supt}(q) \subseteq H$ .

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For each  $i < \omega$ , let  $\mathcal{D}_i$  collect all  $u \in \mathbb{P}$  such that there is  $r_{\sim}^* \in M \cap Q_{\sim\alpha}$  with

$u \upharpoonright \alpha \Vdash_{\alpha}$  “ $u(\alpha) \geq r_{\sim}^*$  and  $r_{\sim}^*$  is a residue for  $p_i(\alpha)$  in  $M[G_{\sim\alpha}]$ ”.

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$\mathcal{D}_i$  is in  $H$ , since its parameters are in  $H$ .

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For each  $i < \omega$ , let  $\mathcal{D}_i$  collect all  $u \in \mathbb{P}$  such that there is  $r_{\sim}^* \in M \cap Q_{\sim\alpha}$  with

$u \restriction \alpha \Vdash_{\alpha} "u(\alpha) \geq r_{\sim}^*" \text{ and } r_{\sim}^* \text{ is a residue for } p_i(\alpha) \text{ in } M[G_{\sim\alpha}]"$ .

$\mathcal{D}_i$  is in  $H$ , since its parameters are in  $H$ .  $\mathcal{D}_i$  is open.  $\mathcal{D}_i$  is dense above  $p_i$ , since  $Q_{\sim\alpha}$  is forced to be strongly  $\kappa^+$ -cc and  $M[G_{\sim\alpha}]$  to be an appropriate model

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For each  $i < \omega$ , let  $\mathcal{D}_i$  collect all  $u \in \mathbb{P}$  such that there is  $r_{\sim}^* \in M \cap \mathcal{Q}_\alpha$  with

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# More induction

By induction on  $k < \omega$  we construct  $\langle i_k : k < \omega \rangle$ ,  
 $\langle q_k : k < \omega \rangle$  and  $\langle r_{\sim k}^* : k < \omega \rangle$  such that

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- $i_0 = 0$ ,

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Use the elementarity of  $H$  and the definition of  $g$ .

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 $r_k \in M \cap H$  (def. of  $\mathcal{D}_i$ ),

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$$q \upharpoonright \alpha \Vdash_{\alpha} "r_k^* \text{ is a residue for } p_{i_k}(\alpha) \text{ in } M[G_{\alpha}]" .$$

Since  $q \geq p_{i_{k+1}} \geq q_k$ , and  $p_i$ s are increasing, we have that  
 $q \upharpoonright \alpha \Vdash_{\alpha} "p_i(\alpha), s(\alpha) \text{ are compatible}"$ , for all  $i$ .

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 $q \upharpoonright \alpha \Vdash_\alpha$  “ $p_i(\alpha), s(\alpha)$  are compatible”, for all  $i$ .  $q^* \upharpoonright \alpha$   
forces that there is an upper bound for all  $p_i(\alpha)$  and  $s(\alpha)$   
in  $\mathcal{Q}_\alpha$ , which we then take as  $q^*(\alpha)$ .

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# Uses of the theorem

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Our work

Under exploration.

# Uses of the theorem

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Under exploration. An alternative proof of a result of Shelah on the consistent existence of a universal graph on  $\kappa^+$  with  $2^\kappa > \kappa^+$ .

# Uses of the theorem

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Our work

Under exploration. An alternative proof of a result of Shelah on the consistent existence of a universal graph on  $\kappa^+$  with  $2^\kappa > \kappa^+$ . A whole plethora of universality results.