

Iterated forcing and Forcing Axioms

Mirna Džamonja, Tutorial 2

26 June, 2019

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Forcing and iterated forcing

Iterated forcing
and Forcing
Axioms

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Forcing is a technique to extend a universe V of set theory=ZFC (or ZFC*) to another one, $V[G]$, so that $V[G]$

Recall and MA

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How about ω_2 , or
something larger

Finite conditions

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revolution

Forcing and iterated forcing

Iterated forcing
and Forcing
Axioms

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- has the same ordinals

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

Forcing and iterated forcing

Iterated forcing
and Forcing
Axioms

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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Neeman's
revolution

Forcing and iterated forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
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- satisfies a desired formula ϕ .

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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Neeman's
revolution

Forcing and iterated forcing

Iterated forcing
and Forcing
Axioms

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For example, ϕ could be the failure of CH, or something more involved such as

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

Forcing and iterated forcing

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For such more involved statements $\neg\exists$ or $\forall\exists$ we need to use iterated forcing.

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For such more involved statements $\neg\exists$ or $\forall\exists$ we need to use iterated forcing.

This can get a little complicated because of two issues: preservation of the axioms and preservation of cardinals.

Recall and MA

PFA

How about ω_2 , or something larger

Finite conditions

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Iterated forcing

Iterated forcing
and Forcing
Axioms

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Iteration of forcing is NOT constructing a sequence of extensions $V_0 \subseteq V_1 = V_0[G_0] \subseteq V_1[G_1] \dots \subseteq V_\alpha[G_\alpha] \dots$ such that each G_α is P_α -generic for some forcing $\mathbb{P}_\alpha \in V_\alpha$ chosen independently of the previous ones.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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Neeman's
revolution

Iterated forcing

Iterated forcing
and Forcing
Axioms

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

Iterated forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or something larger

Finite conditions

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Neeman's revolution

Iterated forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
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Neeman's
revolution

Iterated forcing

Iterated forcing
and Forcing
Axioms

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This approach runs into problems already at the stage ω . For example, if we take $V_\omega = \bigcup V_n$, in general this will not even contain $\langle G_n : n < \omega \rangle$. See Kunen's book 1st edition.

Instead we need to deal with a forcing which is entirely in V_0 and consists of **names**.

Recall and MA

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How about ω_2 , or something larger

Finite conditions

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Neeman's revolution

Names

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Definition

Names are defined recursively. A \mathbb{P} -name is a set of pairs (p, σ) where $p \in \mathbb{P}$ and σ is a \mathbb{P} -name.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)

Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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Names, say $\dot{\tau}$, are in V and are going to be calculated into objects, $\dot{\tau}_G$ in $V[G]$. In fact, $V[G]$ consists entirely of such calculated objects.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)

Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Definition

(1) The values of $\dot{\tau}_G$ are defined recursively. If G is a filter on \mathbb{P} and $\dot{\tau}$ a \mathbb{P} -name, then

$$\dot{\tau}_G = \{\dot{\sigma}_G : (\exists p \in G)(p, \dot{\sigma}) \in \dot{\tau}\}.$$

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)

Neeman's
revolution

Definition

Names are defined recursively. A \mathbb{P} -name is a set of pairs (p, σ) where $p \in \mathbb{P}$ and σ is a \mathbb{P} -name.

Names, say τ , are in V and are going to be calculated into objects, τ_G in $V[G]$. In fact, $V[G]$ consists entirely of such calculated objects.

Definition

(1) The values of τ_G are defined recursively. If G is a filter on \mathbb{P} and τ a \mathbb{P} -name, then

$$\tau_G = \{\sigma_G : (\exists p \in G)(p, \sigma) \in \tau\}.$$

(2) $V[G] = \{\tau_G : \tau \in V\}$.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)

Neeman's
revolution

The forcing relation

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Definition

Suppose that $\varphi(x_0, x_1, \dots, x_{n-1})$ is a formula in the language of set theory $\mathcal{L} = \{\in\}$ and $\mathcal{I}^0, \mathcal{I}^1, \dots, \mathcal{I}^{n-1}$ are \mathbb{P} -names, while $p \in \mathbb{P}$.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

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Recall and MA

PFA

How about ω_2 , or something larger

Finite conditions

Work with Gregor Dolinar (APAL 2013)

Neeman's revolution

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A good definition but seems too hard to satisfy. That is where the magic comes:

Forcing Theorem, part 2 (1) For any φ and $\mathcal{I}^0, \mathcal{I}^1, \dots, \mathcal{I}^{n-1}$, V as above, $V[G] \models \varphi(\mathcal{I}_G^0, \mathcal{I}_G^1, \dots, \mathcal{I}_G^{n-1})$ iff for some $p \in G$ we have $p \Vdash \varphi(\mathcal{I}_0, \mathcal{I}_1, \dots, \mathcal{I}_{n-1})$.

Recall and MA

PFA

How about ω_2 , or something larger

Finite conditions

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Neeman's revolution

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(2) There is a relation \Vdash^* definable in the ground model such that $p \Vdash \varphi$ iff $p \Vdash^* \varphi$.

Iterated Forcing

Two Step Iteration Suppose that P is a forcing notion and \tilde{Q} is a P -name for a forcing notion (i.e. $\emptyset_P \Vdash \tilde{Q}$ is a forcing notion.)

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)

Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)

Neeman's
revolution

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Finite Support Iteration A finite support iteration of forcing $\langle P_\alpha, \tilde{Q}_\beta : \alpha \leq \alpha^*, \beta < \alpha^* \rangle$ is defined by induction.

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 $P_0 = \{\emptyset\}$.

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$P_\alpha =$ all functions p such that :

- $\text{dom}(p) = \alpha$,

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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revolution

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- $\text{dom}(p) = \alpha$,
- $\forall \gamma < \alpha, p \upharpoonright \gamma \Vdash p(\gamma) \in \tilde{Q}_\gamma$,
- $\{\gamma < \alpha : \neg(p \upharpoonright \gamma \Vdash p(\gamma) = \emptyset_\gamma)\}$ is finite.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Killing Souslin trees

Solovay and Tennenbaum 1970:

Iterated forcing
and Forcing
Axioms

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Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution

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Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

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Solovay and Tennenbaum 1970: It is (relatively) consistent that there are no Souslin trees.

Start with say $V = L$ and do an iteration of length ω_2 in which each individual step \tilde{Q}_α comes equipped with a P_α -name \tilde{R}_α for a Souslin tree and it achieves that \tilde{R}_α is no longer a Souslin tree in $P_{\alpha+1}$.

Recall and MA

PFA

How about ω_2 , or something larger

Finite conditions

Work with Gregor Dolinar (APAL 2013)

Neeman's revolution

Killing Souslin trees

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or something larger

Finite conditions

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Start with say $V = L$ and do an iteration of length ω_2 in which each individual step Q_α comes equipped with a P_α -name \tilde{R}_α for a Souslin tree and it achieves that \tilde{R}_α is no longer a Souslin tree in $P_{\alpha+1}$.

It is possible to arrange the “bookkeeping” so that $\langle \tilde{R}_\alpha : \alpha < \omega_2 \rangle$ goes over all relevant names, i.e. any Souslin tree in the extension by P_{ω_2} is named at some stage as \tilde{R}_α .

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Iterated forcing
and Forcing
Axioms

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Tutorial 2

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For all said so far can use as reference “Proper and Improper Forcing” by Shelah and for iterated forcing also the article “Iterated Forcing” by Jim Baumgartner.

Recall and MA

PFA

How about ω_2 , or something larger

Finite conditions

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But how to preserve cardinals?

Iterated forcing
and Forcing
Axioms

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ccc is a property of forcing that guarantees that the forcing preserves all cardinals.

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PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

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We have got a solution for you

Forcing Axioms or forcing without forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

Martin's Axiom (MA) : For every ccc forcing notion \mathbb{P}

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

Forcing Axioms or forcing without forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

Forcing Axioms or forcing without forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dollner (APAL
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Neeman's
revolution

Forcing Axioms or forcing without forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
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Neeman's
revolution

Forcing Axioms or forcing without forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
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Neeman's
revolution

Forcing Axioms or forcing without forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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Recall and MA

PFA

How about ω_2 , or something larger

Finite conditions

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Forcing Axioms or forcing without forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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Using $MA + \neg CH$ set theorists and non-set theorists have proved a variety of consistency results, mostly about ω_1 .

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How about ω_2 , or something larger

Finite conditions

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**CONSEQUENCES OF
MARTIN'S AXIOM**

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There are nice forcings that preserve cardinals,

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and Forcing
Axioms

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution

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Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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Neeman's
revolution

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[Why ω_1 ? Todorčević and Veličković proved that PFA implies $\mathfrak{c} = \omega_2$.]

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Theorem

(Baumgartner 1984) Modulo a supercompact cardinal, PFA is consistent.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution

Properness and generic conditions

Iterated forcing
and Forcing
Axioms

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Definition

Suppose that $M \prec (H(\chi), \in)$ with $\mathbb{P} \in M$.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution

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Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Suppose that $M \prec (H(\chi), \in)$ with $\mathbb{P} \in M$. A condition q is (\mathbb{P}, M) -*generic* if for every maximal antichain $A \in M$, the antichain $A \cap M$ is maximal above q (i.e. for conditions r with $q \leq r$).

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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\mathbb{P} is *proper* if for every countable $M \prec (H(\chi), \in)$ with $\mathbb{P} \in M$, for every $p \in \mathbb{P} \cap M$, there is $q \geq p$ which is (\mathbb{P}, M) -generic.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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Neeman's
revolution

Properness and generic conditions

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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A posteriori, this is a lot like master conditions in large cardinal forcing.

Note ccc implies proper.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

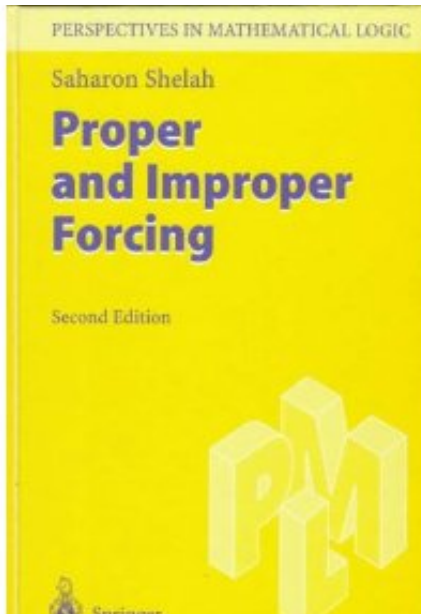
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and Forcing
Axioms

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Tutorial 2



Some facts about proper forcing

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and Forcing
Axioms

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Tutorial 2

Proper forcing cannot be iterated with finite supports.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

Some facts about proper forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution

Some facts about proper forcing

Iterated forcing
and Forcing
Axioms

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Proper forcing of size \aleph_1 or with strong \aleph_2 -cc properties

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

Some facts about proper forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Proper forcing of size \aleph_1 or with strong \aleph_2 -cc properties preserves cardinals, cofinalities and stationary subsets of ω_1 .

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution

Some facts about proper forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

Some facts about proper forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

Some facts about proper forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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Neeman's
revolution

Some facts about proper forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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We shall see.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution

Above ω_1

It turns out that naive analogues of MA *do not* work with ω_2 .

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and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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It turns out that naive analogues of MA *do not* work with ω_2 . For example, the iteration of κ^+ -cc $<$ κ -closed (every increasing sequence of length $<$ κ has an upper bound) forcing does not have to be κ^+ -cc (various examples, a known one by Mitchell, involving Souslin trees).

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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Neeman's
revolution

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Models as side conditions.

Let us try to add a club to ω_1 using *finite* conditions,

Recall and MA

PFA

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something larger

Finite conditions

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PFA

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something larger

Finite conditions

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PFA

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something larger

Finite conditions

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Baumgartner (1984) (alternative Abraham 1983) solved this:

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Finite conditions

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Recall and MA

PFA

How about ω_2 , or something larger

Finite conditions

Work with Gregor Dolinar (APAL 2013)

Neeman's revolution

Let us try to add a club to ω_1 using *finite* conditions, so finite subsets of the club. No reason that the generic should be closed.

Baumgartner (1984) (alternative Abraham 1983) solved this: each condition gives finitely many ordinals that will be in and finitely many intervals of the form $(\alpha, \alpha']$ from which we have to keep out.

[Recall and MA](#)[PFA](#)[How about \$\omega_2\$, or something larger](#)[Finite conditions](#)[Work with Gregor Dolinar \(APAL 2013\)](#)[Neeman's revolution](#)

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[Recall and MA](#)[PFA](#)[How about \$\omega_2\$, or something larger](#)[Finite conditions](#)[Work with Gregor Dolinar \(APAL 2013\)](#)[Neeman's revolution](#)

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[Recall and MA](#)[PFA](#)[How about \$\omega_2\$, or something larger](#)[Finite conditions](#)[Work with Gregor Dolinar \(APAL 2013\)](#)[Neeman's revolution](#)

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[Recall and MA](#)[PFA](#)[How about \$\omega_2\$, or something larger](#)[Finite conditions](#)[Work with Gregor Dolinar \(APAL 2013\)](#)[Neeman's revolution](#)

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)Neeman's
revolution

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution

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Introduced the idea of *strongly proper* forcing.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)Neeman's
revolution

\square_{ω_1} using finite conditions and proper forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

\square_{ω_1} using finite conditions and proper forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

\square_{ω_1} using finite conditions and proper forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

\square_{ω_1} using finite conditions and proper forcing

Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

\square_{ω_1} using finite conditions and proper forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

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\square_{ω_1} using finite conditions and proper forcing

Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

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Note: to destroy a square "thread a club".

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)

Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
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Neeman's
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Iterated forcing
and Forcing
Axioms

Mirna Džamonja,
Tutorial 2

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
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Neeman's
revolution

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Each condition has a finite domain \mathcal{F}_p , for each $\alpha \in \mathcal{F}_p$ we choose a club, a finite set of intervals \mathcal{O}_p that keep things out, and a finite set of models \mathcal{M}_p and "safeguards" \mathcal{S}_p .

[Recall and MA](#)[PFA](#)[How about \$\omega_2\$, or something larger](#)[Finite conditions](#)[Work with Gregor Dolinar \(APAL 2013\)](#)[Neeman's revolution](#)

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)Neeman's
revolution

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For $\alpha < \omega_2$ with $\text{cf}(\alpha) = \omega_1$, let E_α denote some fixed club in α of order type ω_1 .

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)Neeman's
revolution

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Suppose that $\mathcal{M}_1, \mathcal{M}_2 \prec H_{\omega_2}$ are countable and let $\delta := \sup(M_1 \cap M_2)$.

[Recall and MA](#)[PFA](#)[How about \$\omega_2\$, or something larger](#)[Finite conditions](#)[Work with Gregor Dolinar \(APAL 2013\)](#)[Neeman's revolution](#)

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Suppose that $\mathcal{M}_1, \mathcal{M}_2 \prec H_{\omega_2}$ are countable and let $\delta := \sup(M_1 \cap M_2)$. Then the set $\{\min(M_1 \setminus \lambda) \mid \lambda \in M_2, \delta < \lambda < \sup(M_1)\} \cup \{\min(M_1 \setminus \delta)\}$ is called the set of M_1 -fences for M_2 ;

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)Neeman's
revolution

Definition

The forcing notion P is the set of conditions of the form

$p := (\mathcal{F}_p, \mathcal{S}_p, \mathcal{O}_p, \mathcal{M}_p)$, where

(1) $\mathcal{F}_p : \text{Lim}(\omega_2) \rightarrow \mathcal{P}(\omega_2)$, $|\mathcal{F}_p| < \omega$ and for all

$\alpha \in \mathcal{D}_p := \text{dom}(\mathcal{F}_p)$, $\mathcal{F}_p(\alpha)$ is a club $C_\alpha \subset \alpha$ whose order type is $< \omega_1$ if $\text{cf}(\alpha) = \omega$ and which satisfies

$C_\alpha \in \{E_\alpha \setminus \beta \mid \beta \in \mathcal{D}_p \cap \alpha\}$ if $\text{cf}(\alpha) = \omega_1$;

(2) $\mathcal{S}_p \subset \mathcal{D}_p$ and $\alpha \in \mathcal{S}_p$ for every $\alpha \in \mathcal{D}_p$ with $\text{cf}(\alpha) = \omega_1$;

(3) \mathcal{M}_p is a finite set of sets $M \cap \omega_2$ for some countable $\mathcal{M}[M] \prec H_\theta$, and $\text{sup}(M) \in \mathcal{S}_p$ for every $M \in \mathcal{M}_p$;

(4) for every $\alpha \neq \beta \in \mathcal{D}_p$, if $\mu \in \text{Lim}(C_\alpha) \cap \text{Lim}(C_\beta)$ then $C_\alpha \cap \mu = C_\beta \cap \mu$;

(5) if $\alpha \in \mathcal{D}_p$ and $\sigma \in \mathcal{S}_p \cap \alpha$, then $C_\alpha \cap \sigma$ is a finite set;

(6) for all $\alpha \in \mathcal{D}_p$ and $M \in \mathcal{M}_p$:

(a) if $\alpha \in M$ then $C_\alpha \in \mathcal{M}[M]$,

(b) if $\alpha \notin M$ is such that $\alpha < \text{sup}(M)$, or if $\alpha \in M$ is such that $\text{sup}(M \cap \alpha) < \alpha$, then $\min(M \setminus \alpha) \in \mathcal{S}_p$ and $\text{sup}(M \cap \alpha) \in \mathcal{D}_p^1$,

¹Note that if $\alpha \in M$ then $\text{sup}(M \cap \alpha) < \alpha$ iff $\text{cf}(\alpha) = \omega_1$.

Definition

(c) if $\alpha \notin M$, $\sup(M \cap \alpha) < \alpha < \sup(M)$ and there is no $\beta \in \mathcal{D}_p \setminus (\alpha + 1)$, such that $\alpha \in \text{Lim}(C_\beta)$, then

$C_\alpha \cap \sup(M \cap \alpha)$ is a finite set,

(d) if $\alpha \notin M$, $\sup(M \cap \alpha) = \alpha$ and there is no $\beta \in \mathcal{D}_p \setminus (\alpha + 1)$, such that $\alpha \in \text{Lim}(C_\beta)$, then C_α is some cofinal sequence in α of length ω ;

(7) \mathcal{O}_p is a finite set of half open nonempty intervals

$(\beta', \beta] \subset \omega_2$ such that $\mathcal{D}_p \cap \bigcup \mathcal{O}_p = \emptyset$;

(8) if $(\beta', \beta] \in \mathcal{O}_p$ and $M \in \mathcal{M}_p$ then either $(\beta', \beta] \in \mathcal{M}$ or $(\beta', \beta] \cap \mathcal{M} = \emptyset$;

(9) if $M_1, M_2 \in \mathcal{M}_p$ then they are compatible, and the M_1 -fence for M_2 is a subset of S_p .

For $p, q \in P$ define

$$p \leq q \stackrel{\text{def}}{\iff} \mathcal{F}_p \subset \mathcal{F}_q, \mathcal{S}_p \subset \mathcal{S}_q, \mathcal{O}_p \subset \mathcal{O}_q, \mathcal{M}_p \subset \mathcal{M}_q.$$

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)Neeman's
revolution

Neeman's new way of iterating

Iterated forcing
and Forcing
Axioms

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Tutorial 2

Inspired by various developments in the forcing with
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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)

Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

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and Forcing
Axioms

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Tutorial 2

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

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Tutorial 2

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How is this possible?

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

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Iterated forcing
and Forcing
Axioms

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How is this possible?

The conditions in the iteration are a mixture of elementary models and conditions in a proper forcing.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

Let us fix a large regular χ and consider elementary submodels of H_χ .

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

Neeman's
revolution

Let us fix a large regular χ and consider elementary submodels of H_χ . Let θ be a supercompact cardinal and F a Laver function on θ .

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(Neeman) The forcing notion \mathbb{A} consists of pairs (s, p) such that

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)

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Recall and MA

PFA

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something larger

Finite conditions

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
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2013)Neeman's
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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

Work with Gregor
Dolinar (APAL
2013)Neeman's
revolution

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Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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2013)Neeman's
revolution

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- for such α , if M is a countable model in s and $\alpha \in M$ then $p(\alpha)$ is an M -generic condition for $F(\alpha)$.

Recall and MA

PFA

How about ω_2 , or
something larger

Finite conditions

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revolution